

Committee on Oversight and Government Reform  
Witness Disclosure Requirement – "Truth in Testimony"  
Required by House Rule XI, Clause 2(g)(5)

Name:

1. Please list any federal grants or contracts (including subgrants or subcontracts) you have received since October 1, 2011. Include the source and amount of each grant or contract.

None

2. Please list any entity you are testifying on behalf of and briefly describe your relationship with these entities.

Open Door Clinic, Elgin IL Executive Director

3. Please list any federal grants or contracts (including subgrants or subcontracts) received since October 1, 2010, by the entity(ies) you listed above. Include the source and amount of each grant or contract.

Source of Grant	Amount				
	Oct-Dec 2010	2011	2012	2013	Jan-June 2014
U.S Department of Health and Human Resources Ryan White Title 1 Funds	\$90,924.00	\$302,260.00	\$315,830.00	\$298,185.00	\$132,157.00
IL Department of Public Health-AIDS Foundation of Chicago Ryan White Part A-Case Management	\$62,135.00	\$240,720.00	\$322,263.00	\$449,327.00	\$196,953.00
Ryan White Part B	\$16,201.00	\$53,898.00	\$85,676.00	\$130,600.00	\$97,904.00
IL Department of Public Health HIV Testing and Prevention	\$23,386.00	\$99,324.00	\$105,091.00	\$100,771.00	\$49,808.00
Health Resources and Services Administration Ryan White Title III -Outpatient	\$158,000.00	\$330,883.00	\$344,837.00	\$345,876.00	\$151,219.00
Community Development Block Grant				\$26,233.00	
Total Federal Funds	\$350,646.00	\$1,027,085.00	\$1,173,697.00	\$1,350,992.00	\$628,041.00

I certify that the above information is true and correct.

Signature:

David M. Roseler

Date:

07/21/14

# David M. Roesler

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**OBJECTIVE:** A position focused on increasing corporate value and enhancing employee job satisfaction.

**SUMMARY:** Over 12 years of experience in creating and implementing solutions for impediments which hamper company and employee growth, utilizing capabilities and expertise in:

- Procedural development
- Program planning
- Computer/High-Tech tools
- Strategic planning
- Key employee recruitment; team building
- Public speaking & media relations
- Staff development
- Conflict resolution & mediation

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**ACHIEVEMENTS:**

- **Built** a team, an infrastructure and a strategic plan for a service organization. Streamlined operations and achieved an average revenue growth rate of 100% annually over four years.
- **Established** Articles of Incorporation and **developed** personnel policies & procedures, officiated operations and established profitability and cash flow for three service organizations.
- **Developed** marketing plan for large metropolitan service organization. Segmented targeted populations and implemented a new annual marketing campaign.
- **Recruited, trained, supervised and evaluated** managers, accountants, computer technicians, therapists, counselors, trainers, administrative assistants and over 200 volunteers.
- **Composed** contracts and **negotiated** proposals for federal, state, municipal, foundation and corporate funding.
- **Conducted** employee-training seminars for fortune 500 corporations and civic groups. Delivered more than 1500 hours in public presentations as a Key Note speaker for religious and civic purposes.
- **Provided** over 5000 hours in direct counseling services, including such issues as crisis intervention, stress management, marriage, family, abuse/neglect, depression, and grief counseling.
- **Designed and implemented** entrepreneurial program to reduce rural teen crime and increase community development in four down state Illinois communities. Received Governor's Hometown Awards for each community where program was implemented.

**EMPLOYMENT HISTORY:**

YWCA Metropolitan Chicago, Glen Ellyn, IL 60137 <b>Director of Development &amp; Corporate Services,</b>	Oct. '97 - Present
Alexian Brothers Behavioral Health Hospital, Hoffman Estates, IL 60194 <b>Coordinator First Offender Program,</b>	Aug. '95 - Present
Range of Motion, Wheaton, IL 60189 <b>Development Consultant</b>	April '97 - July '98
Little City Foundation, Palatine, IL 60067 <b>Program Coordinator,</b>	Jan. '95 - Oct. '97
Roesler & Associates, Ottawa, IL 61350 <b>President/CEO</b>	Oct. '91 - March. '95
North Central Illinois Youth Service Bureau, Henry, IL 61537 <b>Executive Director,</b>	June '88 - March. '94
Deer Park Community Church, Ottawa, IL 61350 <b>Senior Pastor,</b>	Nov. '87 - Oct. '94

**EDUCATION / TRAINING:**

Kingsway Bible College & Theological Seminary, Des Moines, IA <b>Bachelor of Arts - Pastoral Theology,</b>	1991. Magna cum Laude.
Effectiveness Training Inc., Santa Clara, CA. <b>Certified Effectiveness Training Instructor</b>	1987
United States Professional Tennis Instructors Association <b>Certified Professional Tennis Instructor</b>	1986
University of Illinois at Springfield, IL <b>Bachelor of Arts - Psychology</b>	1985

**PERSONAL / PROFESSIONAL AFFILIATIONS:**

Avocations include raising 2 sons, sports and chess.

Current activities include:

- Administrative Board Member, Our Redeemer United Methodist Church
- Member, Rotary International
- Member, Fox Valley Fund Raisers Association
- Member, United States Tennis Association



**Testimony for the House Committee on Oversight and Government Reform**

Good Morning Committee Members,

My name is David Roesler and I have been the Executive Director of Open Door Clinic of Greater Elgin for the past 15 years.

I am appearing today in response to an invitation to testify on behalf of Open Door regarding its involvement with the FTC and a company called Tiversa.

Between September 2008 and March 2013, Open Door was involved in a class action lawsuit due to a file that was found on the internet that contained names, some with social security numbers and some with addresses and birthdates.

Open Door is a small not-for-profit AIDS Service Organization, approximately 30 employees, providing medical and support care for people living with HIV/AIDS in the far western suburbs of Chicago Illinois.

In July 2008 a company called Tiversa contacted Open Door and said that they had access to a confidential document obtained from a P2P network on the Internet. Communications with Tiversa included a contract for services. The suggested fees for the contract were for \$475/hr.

We contacted our IT Service Provider who researched our network and found no evidence of any P2P networks at that time.

In September 2009, Tiversa contacted Open Door again to report that documents were still available on P2P software.

Open Door's IT Service Provider, once again, reviewed its network to confirm that there was no evidence of P2P software.

Nov 2009 clients began calling their case workers reporting that they were receiving phone calls from lawyers asking them to join a class action lawsuit due to their information released by open door.

At Open Door's November Board Meeting, one board member, also a client, brought in a letter from an out of state law firm asking them to join a class action lawsuit.

January 2010, we received a letter from the FTC. The letter indicated that they had found a file on a P2P Network with a different title than that revealed by Tiversa.

Also in January 2010, Open Door was successful in getting an engagement letter with a law firm to provide pro bono services and began to review our responsibilities of compliance.

Open Door and its IT provider once again reviewed our network and each workstation to confirm that there was no P2P software at that time.

February 2010, a class action lawsuit was filed in Kane County Illinois against Open Door.

Sensational newspaper headlines and numerous media outlets began calling and showing up at the clinic.

March 7, 2013 Open Door's Settlement agreement was approved by court order, dismissing the class action.

Open Door and its insurers agreed to these motions. Open Door denied and continues to deny any legal responsibility for the disclosure, had the case been tried we would've expected to prevail but because of the uncertainties and expense of litigation Open Door and its insurers agreed to terminate this litigation under these terms.