## Michael P. Rhodes, Executive Vice President Mission Systems and Services Business Group CACI International Inc

# Written Testimony for the House Oversight and Government Reform Committee February 11, 2014

Good morning Chairman Issa, Ranking Member Cummings, and Members of the Committee. Thank you for the opportunity to appear before you today. My name is Michael Rhodes, and I'm an Executive Vice President with a wholly owned subsidiary of CACI International Inc ("CACI") and Manager of the Mission Systems and Services Business Group, the business unit within CACI responsible for our work with the Office of Personnel Management ("OPM").

CACI is an organization of nearly 16,000 professionals working in more than 120 offices worldwide, with a very diverse information solutions and services customer base. CACI is one of three contractors that currently assist OPM by conducting fieldwork for security clearance investigations. We perform about 11% of OPM's fieldwork assignments, which comprises about 1% of our overall business.

Having provided mission support services to the government for the past fifty years, we understand that the process of conducting background investigations is fundamental to our national security. We know first-hand about the importance of an effective security clearance process, as more than half of our employees hold clearances that are critical to the work they perform for our country. On a daily basis, CACI employees work side by side with those people

whose lives depend upon us getting the security clearance process right. Like them, our primary commitment is to protecting our national security.

Our business model under the OPM contract is based on four key principles:

- First, our corporate culture the character of the company and its employees, and the importance we place on ethics and integrity.
- Second, having the right policies and procedures.
- Third, effective and continual training.
- Fourth, constant auditing and monitoring.

Quality, accuracy, and thoroughness must come first.

#### CACI's Role in the Security Clearance Process

Our investigators are expected to conduct their fieldwork in accordance with federal guidelines. We perform internal reviews of all investigative reports to ensure that no background investigation is submitted to the government as complete without first undergoing a thorough internal assessment.

Our role in the overall security clearance process is a limited one. We are assigned an investigation, or a "case," through the Personnel Investigations Processing System ("PIPS"), which also designates a case type (e.g., initial investigation or five-year reinvestigation). The type of case determines the scope of our review, both in terms of depth of investigation and time span covered. Our investigators then conduct their fieldwork in accordance with the OPM Investigators' Handbook for conducting background investigations. After an investigation is

complete, one of our case reviewers evaluates the investigative report for quality, completeness, and to ensure the investigation has been conducted according to federal guidelines. Once the case reviewer determines the investigative report meets all quality standards and federal requirements, the report is submitted to OPM as complete.

To be clear, we do not grant clearances or make recommendations as to who should receive a security clearance. Our primary role in the investigation process is fact finding, ensuring that we conduct background investigations in accordance with OPM guidelines and contract requirements. We provide the results of our investigation to the government for its use in making final clearance determinations.

As indicated earlier, we believe our quality control model is robust. We have internal controls to ensure our case reviewers are conducting exhaustive reviews of the cases assigned to them. We require reviewers to log each step of the review process, and maintain an internal case management system that allows us to more effectively monitor their work. In addition to real time controls, we monitor reviewer statistics on a daily, weekly, monthly, quarterly, and annual basis. We encourage employees to be as thorough as necessary in conducting a case review, and we regularly provide employee training and counseling.

We at CACI are committed to best practices and continuous improvement in the security clearance process. In this regard, we have suggestions related to three areas: one, making the background investigation process more efficient; two, more effectively capturing information; and three, enhancing contractor oversight.

On behalf of my company and as a former active duty Army officer and a security clearance holder for over 30 years supporting the Intelligence Community, I thank you for the opportunity to present CACI's input on these matters and I appreciate the courtesies extended to us by you and your staff during the course of this inquiry. I look forward to answering your questions.



February 7, 2014

The Honorable Darrell Issa, Chairman Committee on Oversight and Government Reform United States House of Representatives 2157 Rayburn House Office Building Washington, D.C. 20515-61435

Dear Chairman Issa:

As discussed with Staff of the House Oversight and Government Reform Committee on January 29, 2014, please accept this letter and attachment in response to the "Truth in Testimony" written disclosure and certification enclosed with your January 23, 2014, letter to Kenneth Asbury, President and CEO of CACI International Inc.

CACI International Inc is a parent holding company that does not itself hold any federal contracts or grants. The U.S. operations of CACI International Inc are executed through over twenty subsidiary companies, holding approximately 2,500 contracts with the federal government. CACI Premier Technology, Inc. is the subsidiary that holds the OPM Background Investigations Fieldwork Contract with OPM.

Enclosed also is the resume of Michael P. Rhodes, the witness testifying on behalf of CACI Premier Technology, Inc. If you have any questions or need any additional information, please contact me at the contact

Respectfully,

Arnold D. Morse

Senior Vice President, Chief Legal Officer

CACI International Inc

cc: Hon. Elijah E. Cummings, Ranking Member

Attachment

### Committee on Oversight and Government Reform Witness Disclosure Requirement – "Truth in Testimony" Required by House Rule XI, Clause 2(g)(5)

Name:	Michael P. Rhodes
	rederal grants or contracts (including subgrants or subcontracts) you have received since October 1, 2011. Include mount of each grant or contract.
	None
2. Please list any	y entity you are testifying on behalf of and briefly describe your relationship with these entities.
	I, Michael P. Rhodes, am an Executive Vice President of a wholly owned subsidiary of CACI International Inc and will be testifying on behalf of CACI Premier Technology, Inc.
	rederal grants or contracts (including subgrants or subcontracts) received since October 1, 2010, by the entity(ies) Include the source and amount of each grant or contract.
	Since October 1, 2010, CACI Premier Technology, Inc. has received one contract from OPM: OPM Background Investigations Fieldwork Contract No. OPM15-11-C-0017, dated October 21, 2011. The current funded value of the contract is set forth in Attachment 1. Prior to Contract No. OPM 15-11-C-0017, CACI performed services for OPM under a contract awarded in 2006.
I certify that the a Signature:	bove information is true and correct.  Date:

Attachment 1 to
Committee on Oversight and Government Reform
Witness Disclosure Requirement – "Truth in Testimony"

Mod	Funding	Effective Date
M0001	\$ 10,000,000.00	10/31/2011
M0012	\$ 10,000,000.00	4/25/2012
M0016	\$ 10,000,000.00	8/8/2012
M0019	\$ (4,000,000.00)	9/27/2012
M0021	\$ 25,000,000.00	10/17/2012
M0030	\$ 25,000,000.00	1/25/2013
M0032	\$ (25,000,000.00)	2/28/2013
M0035	\$ 1,000,000.00	3/13/2013
M0036	\$ 1,000,000.00	3/19/2013
M0037	\$ 1,000,000.00	3/25/2013
M0038	\$ 1,000,000.00	4/4/2013
Mi0040	\$ 2,500,000.00	4/8/2013
M0041	\$ 1,000,000.00	4/9/2013
M0042	\$ 5,250,000.00	4/19/2013
M0043	\$ 6,000,000.00	4/29/2013
M0044	\$ 6,250,000.00	5/16/2013
M0047	\$ 1,000,000.00	6/10/2013
M0050	\$ 6,250,000.00	7/17/2013
M0053	\$ 1,500,000.00	8/23/2013
M0054	\$ 3,000,000.00	8/27/2013
M0056	\$ 5,000,000.00	10/15/2013
M0058	\$ 3,750,000.00	11/1/2013
M0061	\$ 2,000,000.00	12/13/2013
M0065	\$ 2,000,000.00	1/13/2014
TOTAL FUNDING/CEILING	\$ 100,500,000.00	

#### Mike Rhodes

# Executive Vice President CACI

Mr. Rhodes currently serves as the Executive Vice President and Manager of the Mission Systems and Services Business Group which consists of six separate but complimentary Division Groups with an employee count of approximately 2,500 personnel and annual revenue of over \$600M. With a primary customer base of C4ISR applications, Security Solutions, Training, Logistics, Intelligence Solutions, Investigation Services, and Strategic Communications, Mr. Rhodes leads a group of highly diversified operations, engineering, scientific, logistics, and communications professionals. Mr. Rhodes has served in the following positions since joining CACI in 1993:

2013 - Present Executive Vice President, Business Group Manager

2006 - 2013: Executive Vice President, Operating Group Manager

2003 - 2006: Senior Vice President, Division Group Manager

1999 - 2003: Vice President, Division Manager

1997 - 1999: Director

1994 - 1997: Program Manager

1993 - 1994: Systems Engineer

Prior to joining CACI, Mr. Rhodes worked for American Systems Corporation where he served as the Engineering Director, providing technical and managerial direction to a team of hardware and software engineers responsible for developing new products associated with signal acquisition, processing, and analysis as related to tactical and strategic cryptologic/EW systems.

Mr. Rhodes served in the U.S. Army on active duty from 1982-1986 as a Signal Corps officer supporting the Communications Electronics Command (CECOM).

Mr. Rhodes holds a B.S. in Electrical Engineering from the University of Notre Dame.